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Clifford Chance Rogers & Wells LLP

Docket No. 3499/82

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Technology Center 210

In re Application of: Andrew J.R. Smith et al.

Filed: May 31, 2001

Group Art Unit: 2161

Serial No: 09/870,811

Examiner: TBA

For: METHOD AND SYSTEM FOR PROCESSING FINANCIAL
DATA OBJECTS CARRIED ON BROADCAST DATA STREAMS
AND DELIVERING INFORMATION TO SUBSCRIBING CLIENTS

REQUEST FOR A CORRECTED FILING RECEIPT

Commissioner for Patents

Washington, D.C. 20231

Upon review of the Official Filing Receipt, we have noted that the priority data is not included in the filing receipt. This application claims priority to the following applications:

<u>Serial Number</u>	<u>Country</u>	<u>Filing Date</u>	<u>Priority Claimed</u> <u>(Y/N)</u>
60/223,397	United States	August 4, 2000	N
60/227,162	United States	August 22, 2000	N

It is believed that this error originated in the Patent Office and therefore no fee is required for this correction. However, the Commissioner is hereby authorized to charge any additional fees due to Deposit Account No. 50-0521.

Respectfully submitted,

Date:

Dec. 20, 2001

Mitchell S. Feller

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Docket No.3499/82

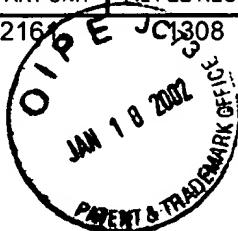
NYB 1299439.1



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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/870,811	05/31/2001	2161	JC1308	3499-82	10	46	3



Clifford Chance Rogers & Wells LLP
200 Park Avenue
New York, NY 10166-0153

CONFIRMATION NO. 1258

CORRECTED FILING RECEIPT



OC000000007034456

Date Mailed: 11/07/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. **If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).**

Applicant(s)

Andrew J.R. Smith, New York, NY;
Deane Thomas, New York, NY;
Randy Cowan, New York, NY;

Domestic Priority data as claimed by applicant

Foreign Applications

If Required, Foreign Filing License Granted 07/28/2001

Projected Publication Date: 12/05/2002

Non-Publication Request: No

Early Publication Request: No

Title

Method and system for processing financial data objects carried on broadcast data streams and delivering information to subscribing clients

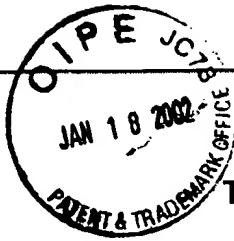
Preliminary Class

705

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Bib Data Sheet

CONFIRMATION NO. 1258

SERIAL NUMBER 09/870,811	FILING DATE 05/31/2001 RULE	CLASS 705	GROUP ART UNIT 2152	ATTORNEY DOCKET NO. 3499-82	
APPLICANTS Andrew J.R. Smith, New York, NY; Deane Thomas, New York, NY; Randy Cowan, New York, NY;					
** CONTINUING DATA ***** THIS APPLN CLAIMS BENEFIT OF 60/223,397 08/04/2000 AND CLAIMS BENEFIT OF 60/227,162 08/22/2000					
** FOREIGN APPLICATIONS *****					
IF REQUIRED, FOREIGN FILING LICENSE GRANTED ** 07/28/2001					
Foreign Priority claimed <input type="checkbox"/> yes <input type="checkbox"/> no 35 USC 119 (a-d) conditions <input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after met Verified and Acknowledged <input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance		STATE OR COUNTRY NY	SHEETS DRAWING 10	TOTAL CLAIMS 46	INDEPENDENT CLAIMS 3
ADDRESS Clifford Chance Rogers & Wells LLP 200 Park Avenue New York ,NY 10166-0153					
TITLE Method and system for processing financial data objects carried on broadcast data streams and delivering information to subscribing clients					
FILING FEE RECEIVED 1308	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:		<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees (Filing) <input type="checkbox"/> 1.17 Fees (Processing Ext. of time) <input type="checkbox"/> 1.18 Fees (Issue) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit		